



COPY OF PAPERS  
ORIGINALLY FILED

Attorney Docket No. 50041-00014  
(Formerly DIS-005C3)

MP \$  
#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Handschy, et al. )  
Application No.: 10/067,516 )  
Filing Date: February 04, 2002 )  
Title: OPTICS ARRANGEMENTS INCLUDING )  
LIGHT SOURCE ARRANGMENTS FOR AN )  
ACTIVE MATRIX LIQUID CRYSTAL )  
IMAGE GENERATOR )

CERTIFICATE OF MAILING

To: Commissioner for Patents  
Washington, D.C. 20231

Sir:

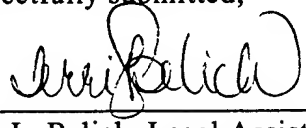
The undersigned hereby certifies that the following documents:

1. Response to Notice to File Missing Parts of Application;
2. Copy of Notice to File Missing Parts of Non-Provisional Application;
3. Check No. 5052 (\$1,790)
4. Certificate of Mailing; and
5. Return postcard

were deposited with the United States Postal Service with sufficient postage as first class mail on August 28, 2002.

Respectfully submitted,

August 28, 2002

  
Terri L. Belich, Legal Assistant  
MARSH FISCHMANN & BREYFOGLE LLP  
3151 S. Vaughn Way #411  
Aurora, CO 80014  
Telephone: (7203) 564-5510



## UNITED STATES PATENT AND TRADEMARK OFFICE

COPY OF PAPERS  
ORIGINALLY FILED

Page 1 of 1

-23

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/067,516	02/04/2002	Mark A. Handschy	DIS-5C3

CONFIRMATION NO. 8464

27313  
BOULDER PATENT SERVICES, INC.  
1021 GAPTER ROAD  
BOULDER, CO 80303-2924

## FORMALITIES LETTER



\*OC00000007738114\*

Date Mailed: 03/28/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

---

*A copy of this notice **MUST** be returned with the reply.*

---

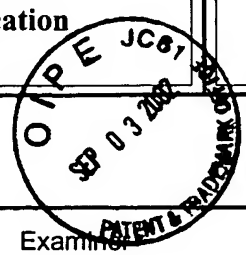
Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 1 - ATTORNEY/APPLICANT COPY

09/05/2002 WABRHAM1 00000051 10067515

01 FC:101	740.00 OP
02 FC:105	130.00 OP

24

<b>Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533)(Large Entity)</b>	<b>Docket No. 50041-00014</b>
---	-----------------------------------



<b>In Re Application Of: HANDSCHY et al.</b>	<b>COPY OF PAPERS ORIGINALLY FILED</b>
--	--

<b>Serial No. 10/067,516</b>	<b>Filing Date 02/04/02</b>	<b>Examiner NOT YET ASSIGNED</b>	<b>Group Art Unit 2873</b>
----------------------------------	---------------------------------	--------------------------------------	--------------------------------

**Invention: "OPTIC ARRANGEMENTS INCLUDING LIGHT SOURCE ARRANGEMENTS FOR AN ACTIVE MATRIX LIQUID CRYSTAL IMAGE GENERATOR"**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on 03/28/02  
*Date*

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

**FILING FEE - CHECK NO. 5052 IN THE AMOUNT OF \$1,790.**

09/05/2002 WABRHAM1 00000051 10067516  
03 10:117 920.00 0P

Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)

Docket No.  
50041-00014

In Re Application Of:  
HANDSCHY et al.

Serial No.  
10/067,516

Filing Date  
02/04/02

Examiner  
NOT YET ASSIGNED

Group Art Unit  
2873

Invention: "OPTIC ARRANGEMENTS INCLUDING LIGHT SOURCE ARRANGEMENTS FOR AN ACTIVE  
MATRIX LIQUID CRYSTAL IMAGE GENERATOR"

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☒ Utility application filing fee

\$740.00

☐ Design application filing fee

☒ Total number of independent claims = 3

☒ Total number of claims = 9

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath

\$130.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees \$870.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month

☐ Two months

☒ Three months

☐ Four months

☐ Five months

from: 5/28/02  
Date

until: 8/28/02  
Date

Total time extension fees \$920.00

Total fees due \$1,790.00

09/05/2002 WABRHAM1 00000051 10067516

03 FC:117

020.00 CR

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
50041-00014

COPY OF PAPERS  
ORIGINALLY FILED

In Re Application Of:  
**HANDSCHY et al.**

Serial No.  
10/067,516

Filing Date  
02/04/02

Examiner  
NOT YET ASSIGNED

Group Art Unit  
2873

Invention: **"OPTIC ARRANGEMENTS INCLUDING LIGHT SOURCE ARRANGEMENTS FOR AN ACTIVE  
MATRIX LIQUID CRYSTAL IMAGE GENERATOR"**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of \$1,790.00 is to be paid as follows:

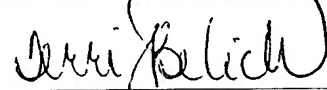
- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-1419  
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.  
A duplicate copy of this sheet is enclosed.

  
Signature

**ROBERT G. CROUCH**  
REG. NO. 34,806  
**MARSH FISCHMANN & BREYFOGLE LLP**  
3151 S. VAUGHN WAY #411  
AURORA, CO 80014  
PHONE: 720-406-0506  
FAX: 720-406-0520

Dated: AUGUST 28, 2002

I certify that this document and fee is being deposited  
on AUGUST 28, 2002 with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.



Signature of Person Mailing Correspondence

**TERRI L. BELICH**

Typed or Printed Name of Person Mailing Correspondence

CC: